

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Toyoshima, et al.

Serial No.:

10/087,184

Filed:

February 27, 2002

For:

METHOD AND SYSTEM FOR A DYNAMIC

BUSINESS MANAGEMENT OF A NETWORK

Art Group Unit:

2152

Examiner:

Unknown

Attorney Docket: Lucida, Inc. - 01

CERTIFICATE OF MAILING/TRANSMISSION (37 C.F.R. § 1.8A)

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INFORMATION DISCLOSURE STATEMENT PURSUANT TO 37 C.F.R. §1.56 AND §§1.97-1.98

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Sir:

The citations listed on the enclosed PTO-1449 Form are submitted under 37 C.F.R. §§1.97 and 1.98, and in compliance with the duty of disclosure as defined in 37 C.F.R. §1.56 and §1.97(e). The Examiner is requested to make these citations officially of record in the application. This Information Disclosure Statement is being submitted before the mailing of a first Office Action and within three months of the mailing date of an International Search Report issued in a counterpart foreign application. Accordingly, no fee is required for submission of these papers.

Certification under 37 C.F.R. §1.97(d) and (e).

Pursuant to 37 C.F.R. §1.97(e)(1), the undersigned, David Schnapf, hereby certifies that each item of information contained in this Information Disclosure Statement was first cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

All of the enclosed citations were cited in a Search Report issued by the United States

Patent and Trademark Office for a counterpart international PCT patent application. A copy of
this Search Report in the English language is enclosed.

This Information Disclosure Statement is not to be construed as a representation or admission that any of the listed citations, by itself or in combination with other information, is material to patentability, is, in fact, prior art, or establishes or a *prima facie* case of unpatentability of any claim in the above-identified application. Additionally, this Information Disclosure Statement is not to be construed as a representation that a further search of the art has been made by Applicants, or that additional information relevant to the examination of this application does not exist unbeknownst to Applicants.

June 4, 2003

SHEPPARD MULLIN RICHTER & HAMPTON LLP Four Embarcadero Center, 17th Floor San Francisco, CA 94111-4106 (415) 434-9100 Respectfully submitted,

David Schnapf

Registration No. 31,560

FORM PTO-1449 (Modified) LIST OF PATENTS AND PUBLICATIONS FOR PPLICANT(S)' INFORMATION DISCLOSURE **STATEMENT**

(Use several sheets if necessary)

ATTY. DOCKET NO.

SERIAL NO.

Lucida, Inc. - 01

10/087,184

APPLICANT: Toyoshima, et al.

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REFERENCE DESIGNATION

U.S. PATENT DOCUMENTS

EXAM'R INITIAL		DOCUMENT NUMBER	DATE	NAME	Class	Subclass	Filing Date If Appropriate
	A1	5,038,318	08/06/1991	Roseman	364	900	
	A2	5,815,152	09/29/1988	Collier et al.	395	348	
	A3	5,887,139	03/23/1999	Madison, Jr. et al.	395	200.53	
	A4	6,021,437	02/01/2000	Chen et al.	709	224	
	A5	6,493,755 B1	12/10/2002	Hansen et al.	709	224	

FOREIGN PATENT DOCUMENTS

EXAM'R		DOCUMENT	DATE	COUNTRY	CLASS	Subclass	TRANSLAT'N	
INITIAL		NUMBER					yes	no
	B1							
	B2							

OTHER ART (Include Author, Title, Date, Pertinent Pages, Etc.)

C2	
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EXAMINER DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant(s).

(Information Disclosure Statement — Section 9 PTO-1449 (Modified) [6-1])

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